

(Rev. 11/17/2016)

**Consultant Services
Solicitation**
(Electronic Submission Required)

Environmental Document Preparation



S-0073(33)30
SR-73; Eagle Mt. to Saratoga Springs Environmental

1/4/2017

**UTAH DEPARTMENT OF TRANSPORTATION
Solicitation**

Table of Contents

Part One

Consultant Services Solicitation Overview

Part Two

Solicitation Method and Requirements

Part Three

Project Information and Evaluation Criteria

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

PART ONE

Rev. 11/17/16

Consultant Services Solicitation Overview

The Utah Department of Transportation is soliciting services identified in Part Three (Project Information and Evaluation Criteria) of this document. Consultant Services will follow guidelines outlined in the [Consultant Services Manual of Instruction](#) (MOI) and in this Solicitation.

Solicitation is defined as the document used by UDOT to obtain a Consultant's Proposal.

Proposal is defined as a Consultant's response to UDOT's Solicitation.

- 1. Communication:** Pursuant to Utah Code 63G-6a-1503(3), beginning the date that the solicitation is issued until the selection of a Consultant has been made, **Consultants may not contact or communicate with any member or potential member of a Selection Team except through the following official communication process:**

Consultants may contact the UDOT Contract Administrator to schedule one-on-one appointments for project or scope of work discussions with the UDOT Project Manager (PM) (and Local Government Project Manager) regarding this Solicitation. Consultants may only communicate through these official designated appointments. A date has been designated for appointments for these discussions in the Selection Schedule outlined in Part Three (Project Information and Evaluation Criteria). Consultants desiring to meet with the UDOT PM or any UDOT employee must contact the UDOT Contract Administrator via email to request an appointment PRIOR to the deadline identified in the Selection Schedule outlined in Part Three (Project Information and Evaluation Criteria).

UDOT desires to make the most efficient use of resources for all parties involved with the established communication process. The one-on-one meeting with UDOT should not be viewed as a marketing tool and is not part of the Consultant Selection process.

Consultants may contact the UDOT Contract Administrator or other Consultant Services staff with any questions.

- 2. Subscription to the UDOT Consultant Services Update Service:** UDOT recommends Consultants interested in this project subscribe to the [UDOT Consultant Services Update Service](#) on the UDOT website.

If there are any changes affecting the Solicitation, notice will be sent out via an e-mail through the update service.

- 3. Review of the Solicitation documents:** Consultants are responsible for reviewing and understanding the content and requirements of the Solicitation. Read and review each section carefully to ensure proposals meet the outlined requirements. If the Consultant identifies any mistake, error, or ambiguity in the Solicitation during the procurement process, it is the duty of the Consultant to notify the Contract Administrator via email.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

4. **Conflict of Interest:** UDOT expects Consultants to act with integrity and professional ethics. When there is a potential conflict of interest, Consultants are required to either not submit for the project or disclose the potential conflict to the Consultant Services Manager prior to submitting a proposal. The Consultant Services Manager will coordinate with the UDOT Conflict of Interest Review Team for a determination by UDOT. For additional information, please reference the UDOT [Consultant Services Manual of Instruction](#) (MOI). Additional Conflict of Interest requirements may be identified in Part Three of this Solicitation.
5. **Applicable Federal and State Regulations:** The Consultant will conform to all applicable state and federal regulations.
6. **Conditions of Proposal:** All costs related to the preparation of the Proposal and any related activities such as interviews are the sole responsibility of the Consultant. UDOT assumes no liability for any costs incurred by Consultants during the selection and contract negotiation process.
7. **Contract Terms and Conditions:** It is the Consultants' responsibility to review and understand UDOT's Standard Terms and Conditions contained in the UDOT [Contract Terms and Conditions](#). These terms and conditions will apply to any contract resulting from this Solicitation.
8. **Health Reform – Health Insurance Coverage in State Contracts Requirements:** As required by UCA 72-6-107.5, effective March 17, 2016, UDOT will not enter into a contract of \$2,000,000 or more with a prime Consultant or \$1,000,000 or more with a sub-Consultant without demonstration of compliance regarding the offering of "Qualified Health Insurance Coverage" to their employees. Prior to issuing a Notice to Proceed, the Consultant must demonstrate compliance with the provisions of Administrative Rule R-916-5. A Consultant's inability to adequately demonstrate compliance may be grounds for determining a Proposal non-responsive and UDOT moving on to negotiate with the new first-ranked responsive Consultant.
9. **Debarment Certification:** Federal regulations require certification by prospective participants (including contractors, subcontractors, and principals) as to current history regarding debarment, eligibility, indictments, convictions, or civil judgments. The selected Consultant will be required to certify in accordance with contract Standard Terms and Conditions.
10. **Right to Cancel or Reject:** UDOT reserves the right to cancel the Solicitation at any time when it is in UDOT's best interest. UDOT also reserves the right to accept or reject any or all Proposals submitted in response to the Solicitation.
11. **Required Personnel Qualification:** The Consultant will be responsible to ensure all personnel proposed are qualified through training, experience, and appropriate certification for the tasks assigned and will have a working knowledge of UDOT standard practices.
12. **Proposed Project Staff:** The Consultant is expected to provide a Consultant Proposed Staffing Plan for the project, as outlined in Part Three (Project Information and Evaluation Criteria). The plan should state the certification and education levels of the individuals proposed for use on this contract including sub-Consultants' personnel. The plan must be included in the Proposal but will not count against the maximum allowed pages.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

UDOT's requirement for listing current or former UDOT employees as personnel in a Proposal is as follows:

1. If a Consultant lists a former UDOT employee on the Proposal who officially left UDOT employment prior to the submission date of the Proposal, the Consultant will not be disqualified.
 2. If the Consultant lists someone who has not yet left UDOT employment, even if the employee plans to retire or quit soon, the Consultant will be disqualified.
 3. If a Consultant lists a former or current UDOT employee who was involved in the development of the Solicitation for this project, the Consultant will be disqualified.
 4. These requirements apply to prime and sub-Consultants.
13. **Key Personnel:** Key Personnel are those individuals specifically identified in the Proposal as being set forth to effectively manage all aspects of the work in a quality, timely and efficient manner. When Consultants list Key Personnel, the Consultant is agreeing to make the personnel available to complete work on the contract at whatever level the project requires.
14. **Audits:** Prior to final contract award, an audit may be conducted by UDOT of the selected Consultant. This audit will be for the purpose of ensuring the selected Consultant is financially capable of performing the contract, the cost information and prices quoted are reasonable, and the selected Consultant has adequate accounting practices to ensure accurate tracking of contract costs. UDOT reserves the right to inspect Consultant records associated with this project, including financial records, as deemed necessary during the term of the contract.
- Prior to final acceptance of the contract work, UDOT may conduct a closing audit of the Consultant. This closeout audit will be performed upon completion of the contract to verify the accuracy of all billings and compliance with the contract provisions.
- In the event that a proposing Consultant has failed to pay UDOT monies due to UDOT for over payment on past projects, UDOT has the right to reject and/or disqualify the Consultant's Proposal. Disqualification will be based on audit findings, determinations, and recommendations made by the UDOT Fiscal Audit Division.
15. **Work site Safety:** Utah Code 63G-6a-403 states UDOT may request the Consultant submitting a Proposal to provide information about the Consultant's work site safety program, including any requirement that the person imposes on sub consultants for a work site safety program and any other pertinent information.
16. **Disposition of Proposals:** Proposals and their content become the property of UDOT and are treated as non-public records until the Contract has been executed by all necessary officials of the Consultant and UDOT. The Proposal of the successful Consultant will be open to public inspection for a period of one year after the Contract has been executed.
17. **Consultant Debriefing:** As part of the Proposal evaluation, UDOT may provide constructive criticism of the Proposals submitted for this project.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

Debriefing information may consist of scores of the first-ranked Consultant's proposal/interview and the scores, strengths and weaknesses of the Consultant's own proposal/interview.

The proposal and strengths identified in the selected Consultant's proposal/interview will be available for review in-person at the Consultant Services division, for a period of one year after the Contract has been executed.

Consultants may contact the Contract Administrator for a formal debriefing.

18. **Notice to Proceed (NTP):** NTP will be issued by Consultant Services division after contract execution. Authorization to begin work from any other source is invalid and will result in non-payment for services provided prior to authorized notification to begin work.
19. **Change in Key Personnel During Negotiations or after Notice to Proceed (NTP):** If after entering negotiations with the first-ranked Consultant, the Consultant is unable to provide the Key Personnel (prime or sub-Consultant) identified in the Proposal at the levels determined necessary for the project by the PM, the Consultant will be required to notify the PM and the Consultant Services Contract Administrator. The Consultant will then be offered the opportunity to propose a revised Project Team. The UDOT Selection Team will review the revised Project Team to determine if it is equal to or better than the originally proposed team. If UDOT determines the revised Team is equal to or better than the originally proposed team, negotiations will continue. If UDOT determines the revised team is not equal to or better than the originally proposed team, negotiations will be discontinued and UDOT will move on to the second-ranked Consultant. If the change in Key Personnel is after NTP and UDOT does not approve the change, UDOT reserves the right to cancel the contract and/or reflect the change on the Consultant Project Evaluation.
20. **Protests:** All protests with respect to this Solicitation must be in writing, and will follow the requirements in Utah Code Sections §63G-6a-1602 et seq. Deliver a copy of any protest to:

Executive Director
Utah Department of Transportation
4501 South 2700 West, 1st Floor
P O Box 141245
Salt Lake City, UT 84114-1245

Any protest not set forth in writing within the time limits specified in §63G-6a-1602 is null and void and will not be considered.

21. **Insurance Certificates:** The Consultant is required to provide UDOT with Certificates of Insurance meeting the current guidelines. Current insurance requirements can be found within the [Consultant Services Manual of Instructions](#) (MOI).
22. **Required Completion and Acceptance Criteria:** Progress payments will be made for work in progress. Final invoice payment will be made after: all of the work has been completed; the final estimate, project records, and documentation have been received and accepted by UDOT as accurate and complete; and a Consultant Evaluation has been performed..

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

23. **Government Records Access and Management Act (GRAMA):** UDOT will maintain a nonpublic process for the duration of this Solicitation. Pursuant to Subsection §63G-2-305(6) of the GRAMA, all records related to this Solicitation, including, but not limited to Proposals, evaluation, and selection procedures, and any records created during the evaluation and selection process will remain nonpublic records until the Contract has been executed by all necessary officials of the Consultant and UDOT. The GRAMA, Utah Code Ann., §63G-2-101 et seq., provides in part that certain records are protected if properly classified.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

PART TWO

Rev. 11/15/16

Solicitation Method and Requirements
Standard Solicitation

1. **Solicitation:** This Solicitation follows the Standard Solicitation processes and procedures outlined herein.

UDOT Consultant Services staff facilitates Selection Teams through the ranking and selection of Consultants to provide engineering services for transportation related projects. Consultant selection is a Qualification-Based Selection (QBS) process, where the primary factor in selection is the qualification-based criteria outlined by the Selection Team in Part Three (Project Information and Evaluation Criteria), rather than the cost of engineering services. Cost is a secondary factor after a Consultant is selected and the contract negotiation process begins.

2. **Proposal Deadline:** Submit one (1) electronic PDF file of the Proposal by email to cssoq@utah.gov prior to 11:00 AM on the submission date identified in the Selection Schedule in Part Three (Project Information and Evaluation Criteria). Proposals will not be accepted after the 11:00 AM deadline.
3. **Qualification Based Selection:** This is a Qualifications Based Selection (QBS) process based on United States Code (USC) Title 40, Chapter 11, Sections 1101 – 1104 (Selection of Architects and Engineers, otherwise known as The Brooks Act). Consultant fees are not a factor in the ranking of Consultants to provide the requested services.
4. **Consultant Fee Discussion:** Consultant fees are not a factor in the selection ranking of a Consultant to provide services. All price or cost related items which include, but are not limited to past, present or future costs or fees associated with Consultant contracts such as; direct salaries, wage rates, indirect cost rates, overhead rates and other direct costs are prohibited from being used in Proposals. Consultant fee or cost discussions are not allowed. Do not include any reference to Consultant fees (past, present, or future) in the Proposal.
5. **Financial Screening:** UDOT requires Consultants to be financially screened at the appropriate level for this Solicitation. The time it takes a Consultant to complete the Financial Screening process varies and therefore UDOT encourages Consultants to submit their [Financial Screening Application and Questionnaire](#) as soon as possible.

Consultants may obtain the Financial Screening Application and Questionnaire from the UDOT website. For questions, contact the Consultant Services Financial Screener at (801)965-4138. A Consultant's Financial Screening status is effective for the period of one year from the time the Consultant is approved.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

6. **Guidelines for Preparing Proposals:** These guidelines were developed to standardize the preparation of a Proposal by Consultants for engineering services on a UDOT project. Submitting a Proposal is the beginning of the selection process and is used as the basis for selecting or for short-listing Consultants. The purposes of the guidelines are to ensure consistency in format and content in the Proposals prepared by Consultants and submitted to UDOT. It is highly recommended that Proposals are written and presented to UDOT in a clear and concise format which will not distract from the content of the material presented.

The following table outlines the Proposal instructions and guidelines. Any penalty or disqualification actions are clearly identified in the table. Violations that do not result in a penalty or a disqualification action may still affect the Consultant’s overall Proposal score as part of the evaluation process.

Proposal Instructions and Guidelines		
Instruction	Description of Requirement	Violation Penalty or Disqualification
Page Limits	Provide only the allowable number of pages as identified in Part Three (Project Information and Evaluation Criteria)	Additional pages will be removed
Page Sizes	Provide only the allowable page sizes identified in Part Three (Project Information and Evaluation Criteria)	Pages violating size requirement will be removed
Consultant Proposed Staffing Plan	Provide a copy of the Consultant Proposed Staffing Plan with no additional information beyond that which is required, as identified on the sample form	If additional information is provided, the Staffing Plan will be removed
Margins	Provide one-inch (1”) margins throughout the Proposal; Consultant Name/Logo and Page Headers/Footers may be within the margins	Guideline
Font and Line Spacing	Use a 10-point [or greater] Arial or Times New Roman font	Guideline
E-mail	Do NOT send Proposals directly or indirectly to any known or presumed Solicitation Selection Team members or other UDOT personnel outside of the prescribed UDOT email address	Guideline
PDF Submission	Send Proposals via e-mail in PDF format	Guideline
Proposal Deadline	Send Proposals to cssog@utah.gov prior to the 11:00 AM deadline on the date identified in Part Three (Project Information and Evaluation Criteria)	Disqualification
Interviews	If interviews are required, attend the date and time instructed by Consultant Services	Disqualification

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

Fee Discussion	Submit a Proposal without any reference to Consultant fees on this project or any past, present or future project	Disqualification
Cover Page	Provide a complete Cover page, including a signed, verbatim Acknowledgement as identified in Part Three (Project Information and Evaluation Criteria)	Disqualification
UDOT Staff	Provide a team that does not include any current UDOT employees or former UDOT employees who were involved in the development of this Solicitation	Disqualification
Financial Screening	Complete the UDOT financial screening process at the appropriate level, identified in Part Three (Project Information and Evaluation Criteria)	Disqualification

1. **UDOT Right:** UDOT reserves the right to disqualify a Proposal when the intent of the Solicitation process is violated. UDOT Consultant Services staff may utilize the provision of Utah Procurement Code 63G-6a-114 to determine an error is immaterial.

2. **Selection Team:** The Selection Team members will receive copies of each responsive Proposal submitted. They will review and score the Proposals individually based on the evaluation criteria identified in Part Three (Project Information and Evaluation Criteria) and submit their scores and comments to the Contract Administrator. The Contract Administrator will tally and compile the scores and comments.

The Selection Team will then meet to discuss the Proposals and comments from individual Selection Team members and determine whether interviews are necessary or whether the selection may be made based on the averaged scores from the Proposals. Final Proposal score results are the average of voting Selection Team members' scores.

Most Standard Solicitation selections will be based on the Proposal scores. However, if the Selection Team determines it is necessary to conduct interviews in order to make a selection, the Selection Team will develop the format of the interviews and provide instructions on the interview format to each Consultant invited to participate.

3. **Selection Interviews:** The Standard Solicitation is intended to reduce the level of effort required to compete for and administrate the selection process. Interviews will take place when the final averaged scores have a small enough deviation to continue a competitive selection process. If one or more Proposals final scores are within 3 points or less of the first ranked Proposal, the Selection Team will invite those firms to interview. If one or more Proposals final scores are within 3 to 5 points of the first ranked Proposal, the Selection Team may determine, at their discretion, if interviews will be conducted with those firms. If there are 5 or more points separating the first and second ranked Proposals, the Selection Team will not conduct interviews and will award to the first ranked team. If the Selection Team determines interviews are necessary, examples of project-specific topics may include such issues for discussion as:

**UTAH DEPARTMENT OF TRANSPORTATION
Solicitation**

- Understanding of the Work
 - Approach to the Project
 - Schedule Control
 - Management of Project
4. **Selecting By Consent (SBC):** The SBC process is a scoring process that aids the Selection Team in developing final ranking through a collaborative process. In this process each segment and question of the interview is weighted in advance during the Selection Team Meeting. After the interviews are conducted, the Selection Team scores each segment and question by “consent”. Consent is defined as the willingness of all Selection Team members to accept a decision reached by a collaborative process.
5. **Qualitative Assessment Guidelines:** Throughout the scoring process (for Proposals and interviews) the Selection Team will use the following Qualitative Assessment Guidelines when scoring. These guidelines are used to help ensure consistency in scoring.

Qualitative Assessment Guidelines	
8 – 10	The Proposer demonstrates a complete understanding of the subject and qualifications that significantly exceed expectations and the stated requirements of this project. Proposal contains many strengths and few minor weaknesses, if any.
5 – 7	The Proposer demonstrates a strong understanding of the subject and qualifications that exceed expectations and the stated requirements of this project. Weaknesses, if any, are minor. Proposal contains strengths that outweigh the weaknesses.
3 – 4	The Proposer demonstrates a general understanding of the project and qualifications that meet expectations and the stated requirements of this project. Weaknesses are minor. Proposal contains weaknesses that are offset by the strengths.
1 – 2	The Proposal raises questions about the Proposer's ability to successfully complete the work. The Proposer demonstrates a vague understanding of the project and qualifications containing significant weaknesses/deficiencies regarding expectations and the stated requirements of this project. Proposal contains weaknesses that are not offset by the strengths.
0	The Proposal is unacceptable. The Proposal fails to meet expectations and the stated requirements of this project. Weaknesses and deficiencies are so major and/or extensive that major revision(s) to the Proposal would be required to make it acceptable. Proposal contains many weaknesses and only minor strengths, if any.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

PART THREE

Rev. 11/15/16

Project Information & Evaluation Criteria
Standard Solicitation

Project Information	
Project Number	S-0073(33)30
Project Name	SR-73: Eagle Mt. to Saratoga Springs Environmental
PIN Number	13608
Requested Services	Environmental
Source of Funding	State
Contact Information	
UDOT Contract Administrator	Devon Tonks UDOT Consultant Services Box 148490 4501 South 2700 West Salt Lake City, Utah 84129-5998 dtonks@utah.gov (801) 965-4184
UDOT Project Management	Matt Parker Project Manager Utah Department of Transportation Region Three

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

Consultant Selection Schedule

Date	Action
Wednesday, January 04, 2017	Posting of Standard Solicitation on UDOT Consultant Services Project Advertisement website
Wednesday, January 11, 2017	Deadline to request a one-on-one discussion meeting
Wednesday, January 18, 2017	Appointments to discuss the project
Monday, January 30, 2017	Proposals are due electronically by 11:00 AM.
Wednesday, February 08, 2017	Selection Team Meeting
Thursday, February 16, 2017	Consultant Selection Interviews
Thursday, February 16, 2017	Consultant Selection
Wednesday, February 22, 2017	Pre-Negotiation Meeting with Selected Consultant

Scope of Work:

UDOT intends to prepare a State Environmental Study (SES) for SR-73, from Eagle Mountain to Saratoga Springs. The SES will incorporate the information from the SR-73 Corridor Study which determined long-term transportation needs and identified preliminary concepts for addressing those needs. The study can be accessed at [SR-73 Corridor Study Eagle Mountain to Saratoga Springs](#).

Specific scope of work elements include:

- Refine the work and concepts that were identified in the SR-73 Corridor Study.
- Conduct a thorough, objective, and technically sound environmental study resulting in a context-sensitive transportation solution that:
 - addresses the transportation need,
 - is an asset to the community,
 - utilizes a Mobility/Congestion Mitigation Plan that maintains connectivity and accommodates planned trails, pedestrian and vehicular facilities in Eagle Mountain City,
 - is compatible with the natural and built environment.
- Engage all project stakeholders in a pro-active and cooperative manner to solicit feedback, resolve concerns, and build consensus throughout the environmental study process.
- Coordinate with the Mountain View Corridor team on connectivity to Mountain View Corridor.
- Provide comprehensive, accurate, and well-organized documentation of the environmental process, including maintaining the project file.
- Provide a schedule in MS Project showing all relevant activities, sub-activities, and milestones for a timely completion.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

- Conduct a Risk Assessment for the SES Preparation phase of the project. Develop a Risk Register and use it as a tool to manage the risks associated with the development of the SES document. Once a Preferred Alternative is identified, conduct a CEVP® type Risk Analysis to validate the proposed project's cost and schedule.

The major elements of the SES are described below. Each of the relevant tasks for each phase will be completed as defined in UDOT's Environmental Document (EA/EIS) Process (October 2012). Refer to that document for specific items and documents related to each task.

- *Public Involvement:* The Consultant will provide public involvement services necessary to communicate the scope, needs, preliminary alternatives, and preliminary impacts to the public. The Consultant will assist UDOT with coordinating with a variety of stakeholders, including Mountainland Association of Governments (MAG), local governments, resource agencies, the public, and any local and national interest groups. This task requires the development of a Public Involvement Plan and includes holding a public hearing and communicating with relevant stakeholders.
- *Confirm Study Area and Traffic Needs:* The Consultant will help UDOT review, update, and validate the assumptions made in the SR-73 Corridor Study, using the most current long-range transportation plans, land use information, and traffic models available. The Consultant will use the most recent traffic demand information and refine the traffic model as necessary. The Consultant should coordinate with Eagle Mountain, Saratoga Springs, and MAG to obtain pertinent traffic modeling data. Transit, active transportation, and access management should be included as part of the analysis. The Consultant will coordinate with MAG and UDOT to prepare a Mobility/Congestion Mitigation Plan using UPlan and Travelwise. UDOT will use the transportation needs to identify the appropriate project study area and logical termini.
- *Finalize Purpose and Need:* The Consultant will help UDOT validate and refine the project purpose and need presented in the SR-73 Corridor Study. This task may include additional public involvement and coordination with stakeholders and resource agencies.
- *Confirm Alternatives Development and Analysis:* The Consultant will help UDOT review, update, and validate the assumptions made in the SR-73 Corridor Study regarding alternatives development, screening, and analysis. This will include confirming the alternatives screening methodology and screening criteria presented in the SR-73 Corridor Study. The initial alternatives identified in SR-73 Corridor Study may be more fully developed, and may include the development of additional alternatives. This task may include additional public involvement and coordination with stakeholders and resource agencies.
- *Determine Impacts and Analyze Resources:* The Consultant will collect appropriate data and perform impact analysis of the alternatives to be studied in detail.
- *Prepare Draft and Final Environmental Documents:* The Consultant will prepare, revise, and release draft and final environmental documents consistent with UDOT guidelines. The Consultant will be responsible for compiling and responding to comments on the documents. This task includes preparing the Decision Document.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

Assumptions

UDOT will provide legal support as needed.

In the SOQ for the study, UDOT will require prospective Consultants to propose an approach, process, and schedule to accomplish the activities listed above to meet the project goals. UDOT reserves the right to initially negotiate with the selected Consultant for Phase One, and negotiate on Phase Two at a later date. UDOT reserves the right to terminate the project at the conclusion of either Phase One, depending upon the outcome of the phases or other unknown circumstances. UDOT additionally reserves the right to re-advertise a RFQ for Phase Two for this project.

The Consultant will accomplish all work tasks listed above and will be responsible for preparing, presenting, and publishing the appropriate components of the environmental document. All materials, including but not limited to, documents, databases, GIS layers, etc., will be delivered to UDOT and become the property of UDOT at the conclusion of each phase. The Consultant will present project progress and findings to UDOT staff as needed throughout the project.

Consultants are encouraged in their SOQ's to focus on their process to meet the project goals, rather than in-depth discussion of project issues or proposed solutions. **UDOT strongly discourages Consultants from contacting project stakeholders during this SOQ development process.**

All reference measurements shall be reported in English units. The design should be to current UDOT and AASHTO design standards.

All documents pertaining to this project will be considered part of the permanent document control record.

1. **Contract Completion:** Approximately **12** months from Notice to Proceed.
2. **Required Percentage of Work for Prime Consultant:** The Consultant must perform work valued at not less than **50%** of the total work, excluding specialized services, with its own staff. Specialized services are those services or items that are not usually furnished by a Consultant performing the particular type of service requested in the outlined Scope of Work.
3. **Financial Screening:** Consultants are required to have an independent audit by a CPA for this Solicitation. Inability to complete the financial screening process will disqualify the Consultant's Proposal, unless the delay is due to problems or delays by UDOT. The selected Consultant must complete the financial screening process within 2 weeks after selection.
4. **Conflict of Interest:** Consultant selections, a Conflict of Interest Disclosure Statement will be required from each Consultant of the project team (prime and all sub-Consultants). Consultants will be required to disclose any financial or other interest they may have in the outcome of the environmental document, in accordance with Council on Environmental Quality Regulation, 40

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

C.F.R. §1506.5(c) (1999). A copy of the signed Disclosure Statement will be included in the contract. Refer to Section 4: Conflict of Interest of the [Consultant Services MOI](#) for specifics.

5. Proposal Organization:

Technical PDF

- Cover Page
- Project Team
- Capability of the Firm(s)
- Approach to the Project
- Consultant Proposed Staffing Plan
- Form BC (Business Confidentiality), if applicable

6. Proposal Section Requirements:

Cover Page: The Cover Page is one page. It may be on the Prime Consultant's letterhead and will consist of the following with no additional information:

**UTAH DEPARTMENT OF TRANSPORTATION
Solicitation**

Cover Page	
Date	
PIN No., Project Name and Description	
Prime Consultant	
Prime Consultant's Federal ID#	
Sub-Consultants (if any)	
Primary Contact	
Primary Contact Name (Prime)	
Address	
City, State, Zip	
Email	
Office Phone	
Cell Phone	
Secondary Contact	
Secondary Contact Name (Prime)	
Address	
City, State, Zip	
Email	
Office Phone	
Cell Phone	
Acknowledgement	
<p><i>I understand the acceptance and completion criteria, submittal, financial screening requirements, contract selection types and contract caps. My firm will comply with all state and federal contracting requirements applicable to the project. I understand UDOT policies, procedures and processes may change during the duration of the project and will comply with any changes required by UDOT. I have fully and accurately disclosed any debarment, license issues, and/or investigations being performed by any governmental entity. Employees listed on the staffing plan are current bona fide employees of the Consultant. As authorized to sign for my organization, I certify the content of this proposal to be true, accurate and all matters fully disclosed as requested in the Solicitation. I understand any misrepresentations or failure to disclose matters in the proposal is immediate grounds for disqualification.</i></p>	
Signature	
Name	
Title	

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

The information is not required to be in this exact format, as long as each item of requested information is presented, with no additional information. Proposals will be considered non-responsive and will be disqualified if the Cover Page is not attached to the Proposal; if the Acknowledgement is not included on the Cover Page; and/or if there is additional information included on the Cover Page.

No evaluation points are assigned to this section and the Cover Page will not count as one of the allowed pages.

Project Team: The Selection Team will evaluate how well the qualifications and experience of the proposed project team members relate to the specific project. The Selection Team will score Proposals based upon the following criteria:	
% of Section Total	Project Team Section Criteria
15	Project Team organizational charts including sub-Consultants (see sample Project Team Organizational Chart available on the UDOT website). Identify Consultants and individuals that will be providing key services on the project (including all technical expertise necessary to perform the outlined Scope of Work).
65	Describe the qualifications, experience, and availability of Key Personnel on your proposed project team. Describe each Key Personnel's role and responsibilities on this project. Correlate the qualifications and past experience with the Proposed Project Role, Contract Goals and the outlined Scope of Work.
20	Provide a table of projects completed by team members during the last ten years. The table headings should include the following items. (See sample Project Related Experience Chart available on the UDOT website). Columns may be combined in order to consolidate information. <ul style="list-style-type: none"> - Name of Project Manager / Team member(s) - Year - Type of Project - Project Name - Project Location - Project Description - Services Performed / Specific Project Role - Client - Reference Contact and Telephone Number
45	Maximum points available for this section of the Proposal (out of 100).

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

Capability of the Firm(s): The Selection Team will evaluate the Firm(s) capability to perform the work. The Selection Team will score Proposals based upon the following criteria:

% of Section Total	Capability of the Firm(s) Section Criteria
30	Describe your project team firms' capability, experience and unique qualifications to perform the specific type of work identified in the Scope of Work.
10	Describe your project team firms' internal quality and cost control procedures.
15	Discuss the logistics relating to how the project team firms' will provide the services requested.
45	Choose a similar project identified in the Project Team section and discuss in detail what your project team firms' did to make that project a success. Describe what lessons were learned from this past project and how you will apply them to the current project.
20	Maximum points available for this section of the Proposal (out of 100).

Approach to the Project: The Selection Team will evaluate how well you have planned a basic course of action, what alternatives and/or preliminary approaches are proposed, and what provisions are identified for dealing with potential impacts. The Selection Team will score Proposals based upon the following criteria:

% of Section Total	Approach to the Project Section Criteria
35	Describe the course of action proposed to meet the Contract Goals and Scope of Work. Be realistic, clear and concise.
10	Provide a schedule of key project milestones and discuss the rationale behind this schedule.
10	Discuss your project team firms' collaboration efforts and how you plan to work together for a successful project.
45	Identify risks, challenges, conflicts and potential mitigation.
35	Maximum points available for this section of the Proposal (out of 100).

A maximum total of 100 points is available for the Technical Proposal.

- 7. Consultant Proposed Staffing Plan:** The Consultant is expected to provide a [Consultant Proposed Staffing Plan](#). Consultants are not required to use this example form, as long as all necessary information is included. The Staffing Plan must identify the certification and education levels of the individuals proposed for use on the contract, including sub-Consultants' personnel. When Consultants list personnel on the Proposed Staffing Plan, the Consultant is agreeing to make the personnel available to complete the services in the contract at whatever level the project requires.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

The Consultant Proposed Staffing Plan must be included in the Proposal, but will not count as one of the allowed pages. No other information is allowed on these pages. If additional information is provided, the Staffing Plan will be removed. No evaluation points are assigned to this section.

8. **Claim of Business Confidentiality:** The GRAMA, Utah Code Ann., §63G-2-101 et seq., provides in part that certain records are protected if properly classified. Consultants are responsible for determining which information, if any, they wish to be protected under a Claim of Business Confidentiality, and are responsible for taking appropriate action to do so. An entire Proposal may not be protected under a Claim of Business Confidentiality. To protect information under a Claim of Business Confidentiality, the Consultant must, at the time the information is provided to UDOT, include a [Form BC - Claim of Business Confidentiality](#). It is the responsibility of the Consultant to complete Form BC in accordance with Subsection §63G-2-309 and submit to UDOT as an attachment to the Proposal. If nothing in the Proposal is being protected under a Claim of Business Confidentiality, a Form BC is not required.
9. **Five (5) Page Maximum:** Pages exceeding the maximum limit will be removed from the Proposal prior to distribution to the Selection Team. The Cover Page, Consultant Proposed Staffing Plan and Form BC (if applicable) do not count towards the page maximum. There is a maximum of one (1) 11" x 17" page allowed as part of the five (5) page maximum.

A page is defined as a single-sided 8.5" x 11" or 11" x 17" sized page that contains text, pictures, tables, graphs, charts, plan sheets, or any other graphics.

Do not include a reference or link to additional information. If Proposals contain references or links, UDOT Consultant Services will remove them from the Proposal.

10. **UDOT Reference Items:**

- UDOT CADD use and information is available on-line from the UDOT web site at www.udot.utah.gov/go/ETS.
- UDOT Construction Manual of Instruction is available online at the UDOT Web site www.udot.utah.gov/go/Manuals.
- UDOT Consultant Services Manual of Instruction is available on-line at the UDOT Web site www.udot.utah.gov/go/CSManuals.
- UDOT Drainage Manual of Instruction is available on-line from the UDOT web site at www.udot.utah.gov/go/Manuals.
- UDOT Environmental Process Manual of Instruction is available on-line from the UDOT web site at www.udot.utah.gov/go/Manuals.
- Other UDOT manuals are available on-line from the UDOT web site at www.udot.utah.gov/go/Manuals.

UTAH DEPARTMENT OF TRANSPORTATION
Solicitation

- UDOT Project Delivery Networks is available on-line from the UDOT web site at www.udot.utah.gov/go/ProjectManagement.
- UDOT ProjectWise use and information is available on-line from the UDOT web site at www.udot.utah.gov/go/ETS.
- UDOT Reference Material is available on-line from the UDOT Web site at www.udot.utah.gov/go/StandardsReferences.
- UDOT Right of Way Design and Operations Manuals are available on-line from the UDOT Web site at www.udot.utah.gov/go/Manuals.
- UDOT Roadway Design Manual of Instruction is available on-line from the UDOT web site at www.udot.utah.gov/go/Manuals.
- UDOT Standards are available on-line from the UDOT Web site at www.udot.utah.gov/go/2012Standards.
- UDOT 2016 Strategic Direction & Performance Measures are available on-line from the UDOT web site at www.udot.utah.gov/go/StrategicDirection.
- UDOT Structures Design and Detailing Manual and the Bridge Management Manual are available on-line from the UDOT web site at www.udot.utah.gov/go/STRManuals).
- UDOT Traffic and Safety Highway Sign Policies and Manuals are available on-line from the UDOT web site at www.udot.utah.gov/go/HighwaySignPolicy.
- State of Utah Web Standards and Guidelines are available on-line from the web site at <http://www.utahta.wikispaces.net/Application+Architecture>.